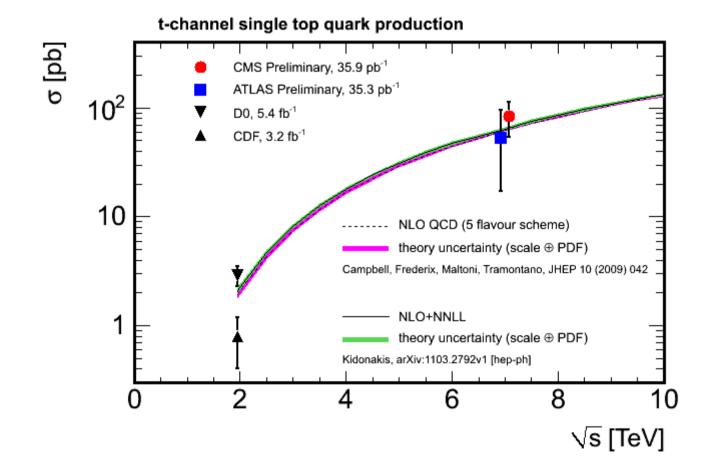
Single top at 7 TeV: the first measurement by CMS Andrea Giammanco (FNRS & CP3-UCL)



CIEMAT, April 8, 2011

CMS collaboration, CMS PAS **TOP-10-008** http://cdsweb.cern.ch/record/1335719?In=en

Acknowledgements: a team work

Cross sections Chris Neu (Virginia) Silvano Tosi (Lyon)

Top mass and properties

Steve Wimpenny (Riverside) Jeremy Andrea (Strasbourg)

Single top Andrea Giammanco (Louvain)

Jennine Wagner-Kuhr (Karlsruhe)

The 3 subgroups of the CMS Top Physics Analysis Group

Main contributors to the "Moriond analysis":



Orso Iorio (Naples)

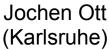


Mario Merola (Naples)



Dennis Klingebiel (Aachen)





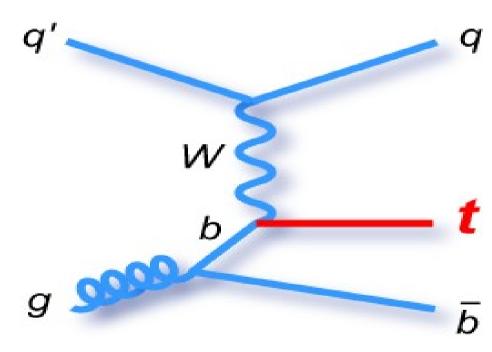




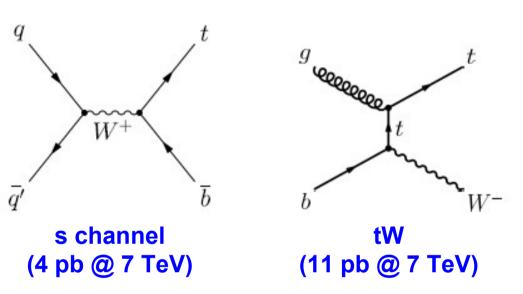
Jeannine Wagner Andrea Giammanco (Karlsruhe) (Louvain)

Outline

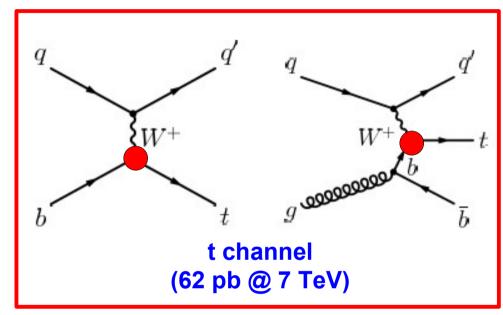
- **1.Introduction**
- 2. Experimental setup
- 3. Single top analysis
 - Selection & backgrounds
 - 2D analysis
 - BDT analysis
 - $-|V_{tb}|$ limits
 - Combination



Probing EW interactions of the top quark in a new energy regime

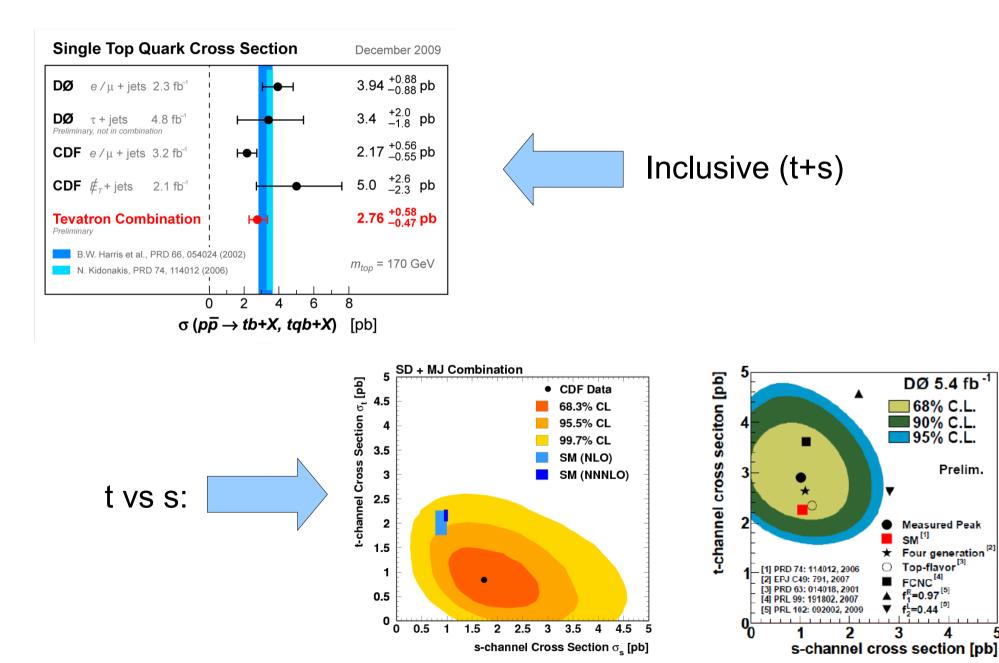


- Tevatron: >5σ in s+t (~1+2 pb)
 - Separate s/t results also appeared
 - tW negligible at 1.96 TeV
- LHC, 7 TeV: t channel dominant
 - s channel & tW are treated as backgrounds in this first study

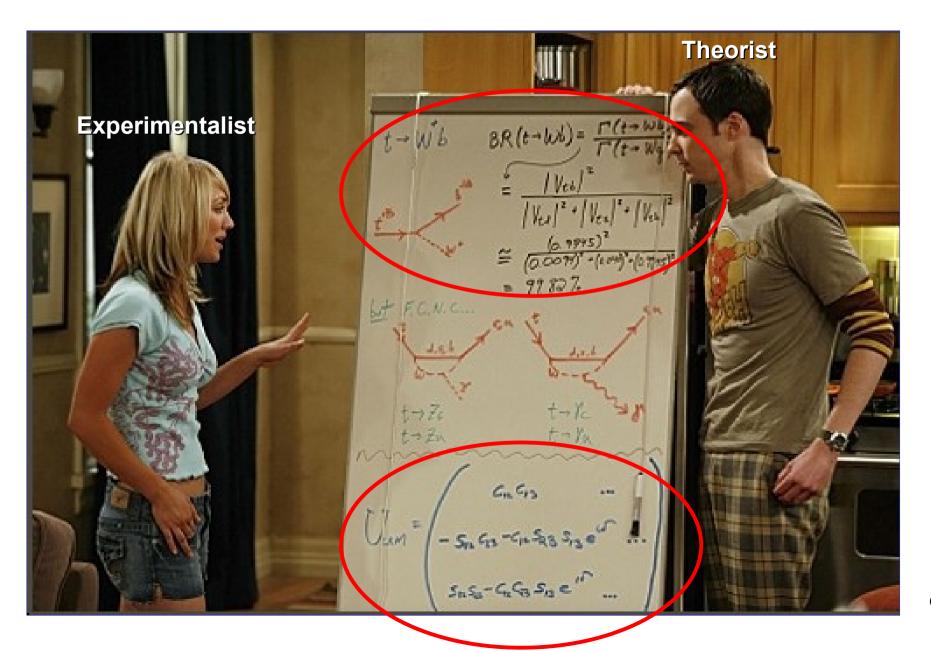


- Goals (increasing statistics):
 - Confirmation of Tevatron & First cross section @ 7 TeV
 - Competitive constraint on $|V_{tb}| \Rightarrow$ sensitivity to 4th quark family
 - FCNC, charged resonances, etc.; the 3 channels offer complementarity

State of the art at Tevatron



A little bit of theory



The CKM matrix

$$\begin{bmatrix} |d'\rangle \\ |s'\rangle \\ |b'\rangle \end{bmatrix} = \begin{bmatrix} V_{ud} & V_{us} \\ V_{cd} & V_{cs} \\ V_{td} & V_{ts} \end{bmatrix} \begin{bmatrix} |d\rangle \\ |s\rangle \\ |b\rangle \end{bmatrix} \cdot \begin{bmatrix} |d\rangle \\ |s\rangle \\$$

- It is **unitary**, meaning that:
 - Any two rows or columns are orthogonal; verified in K and B exps
 - The scalar product of any row and any column by its own complex conjugate is 1; if less ⇒ evidence for new quarks
- Very precise direct measurements of the 1st and 2nd rows:
 - $|V_{ud}|$: from $0^+ \rightarrow 0^+ \beta$ decays
 - $-|V_{us}|$: mostly from semileptonic K decays
 - $|V_{ci}|$ (i=d,s): from D, D_s decays; $|V_{cd}|$ also from $vd \rightarrow \mu^{-}c$
 - $|V_{ib}|$ (i=u,c): from B decays

What about the 3rd row

• First two rows + Standard Model + 3x3 unitarity \Rightarrow

$$|V_{td}| \simeq 0.0069 - 0.0088$$

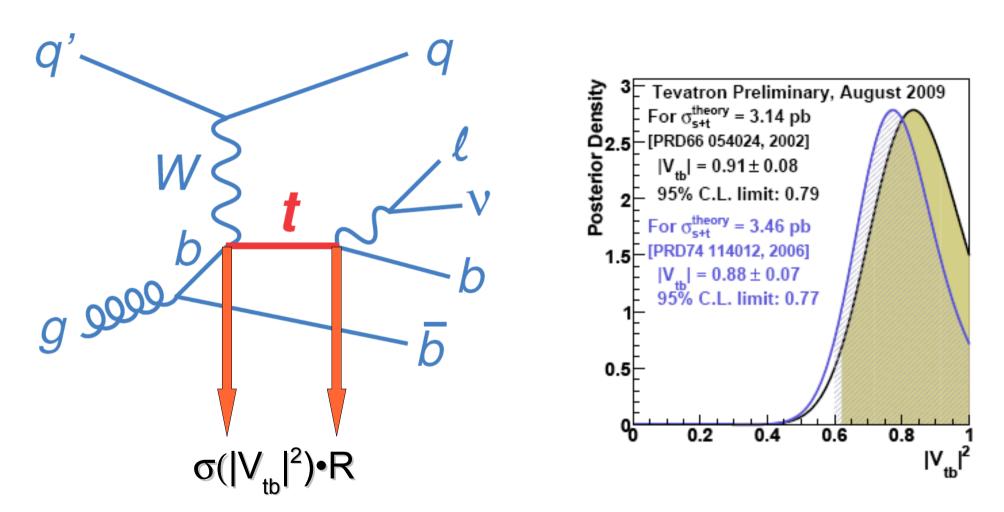
 $|V_{ts}| \simeq 0.0401 - 0.0418$ (at 2σ level)
 $|V_{tb}| \simeq 0.9990 - 0.9992$

• Measuring R doesn't measure $|V_{tb}|$ directly, but a ratio:

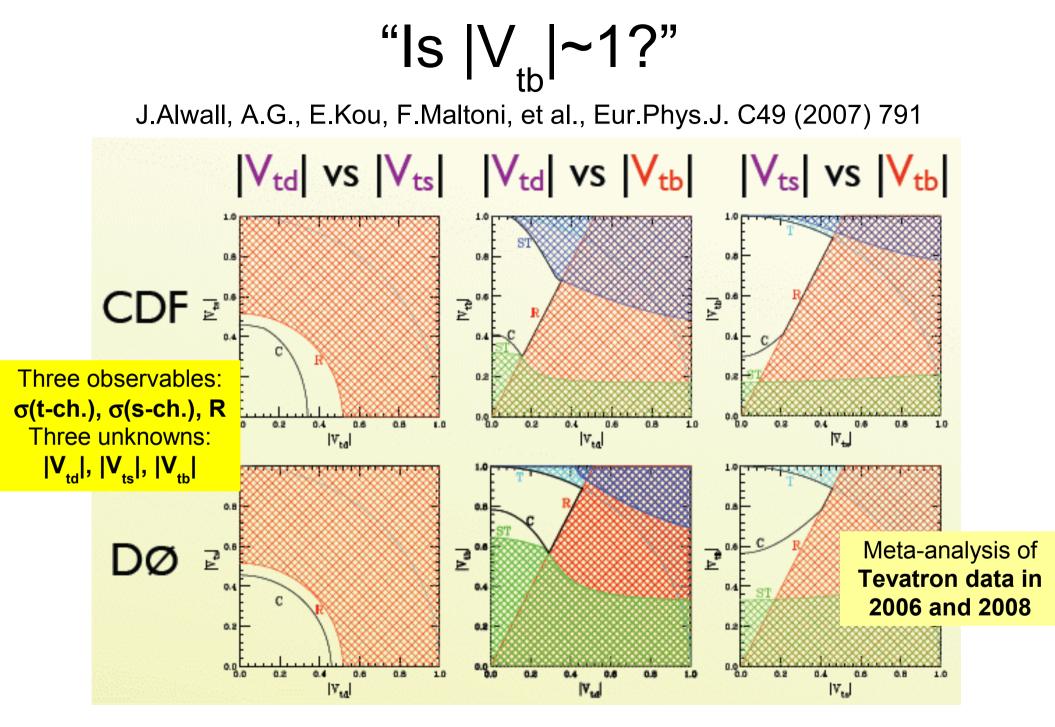
$$R = \frac{\Gamma(t \to W\mathbf{b})}{\Gamma(t \to Wq(=d, s, \mathbf{b}))} = \frac{|V_{tb}|^2}{|V_{td}|^2 + |V_{ts}|^2 + |V_{tb}|^2}$$

Instead, single top production depends directly on |V_{th}|

Single top and $|V_{tb}|$



Popular simplifying assumption: $|V_{ti}| \ll |V_{tb}|$ (*i=d,s*) even if a 4th family exists, $\Rightarrow R \sim 1 \Rightarrow |V_{tb}|^2 = \sigma^{obs}/\sigma^{SM}$; but D0 limit R>0.79 only implies $|V_{tb}| > 1.9\sqrt{|V_{td}|^2 + |V_{ts}|^2}$ For the moment we have to live with this approximation, but ...



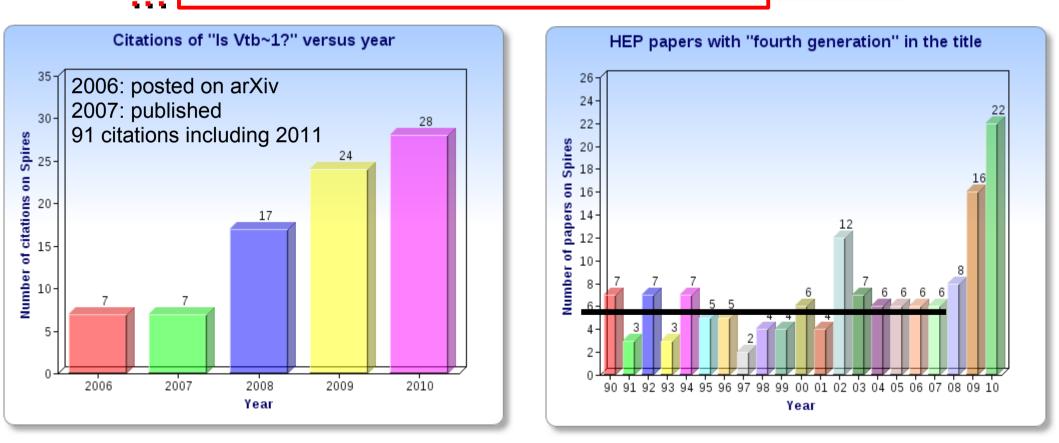
Stand-alone measurement of the 3rd row (no inputs from K, B physics); ¹⁰ much tighter limits in specific models, but this method gives the most "agnostic" limits

A small change in the Zeitgeist...

Particle Data Group: An extra generation of ordinary fermions is excluded at the 6σ level on the basis of the S parameter along

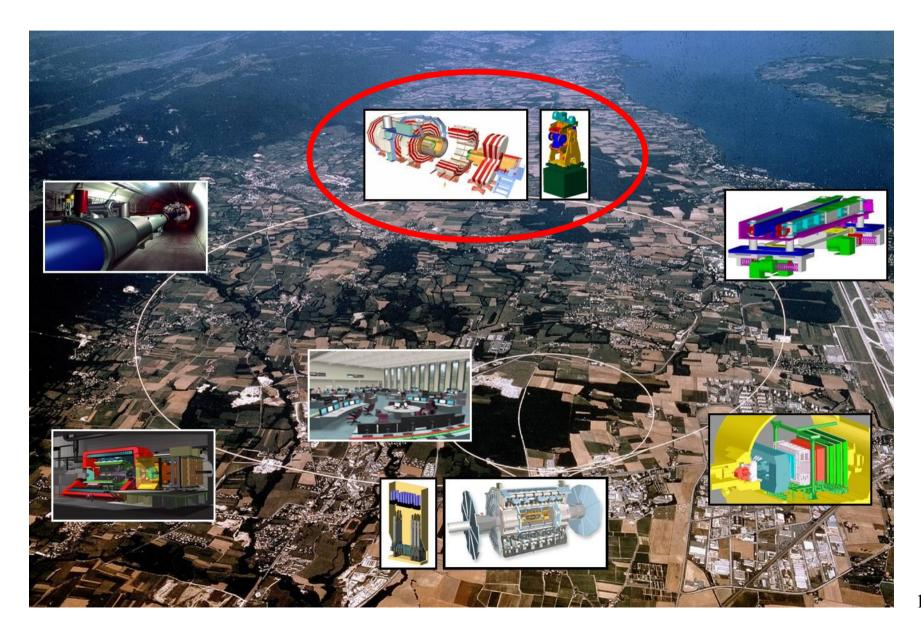
basis of the S parameter alone... [Erler & Langacker]

This result assumes that...any new families are degenerate [Erler & Langacker]

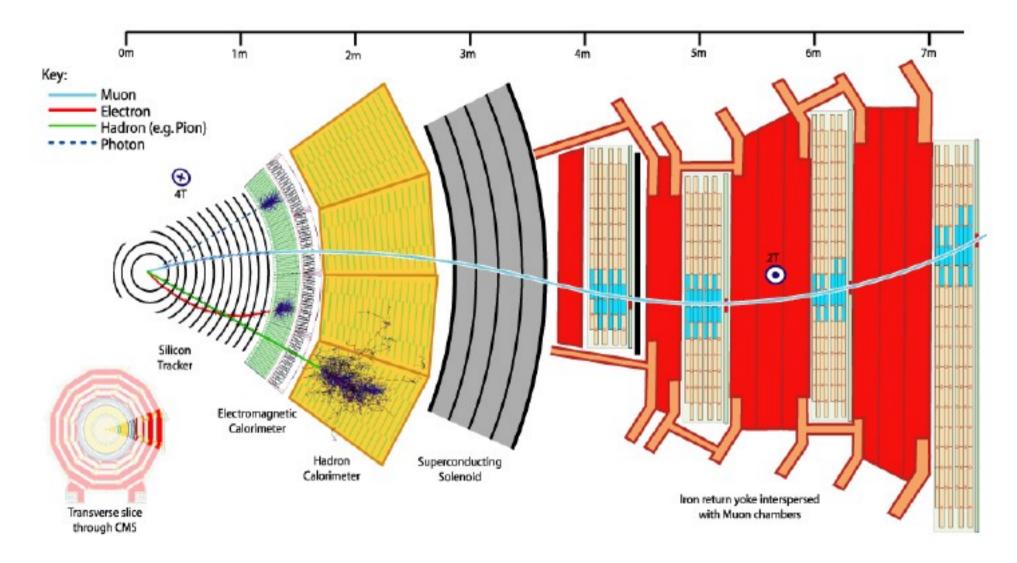


Recently revamped interest of the HEP community for new fermion generations; dedicated workshops are organized

Part II: Experimental setup



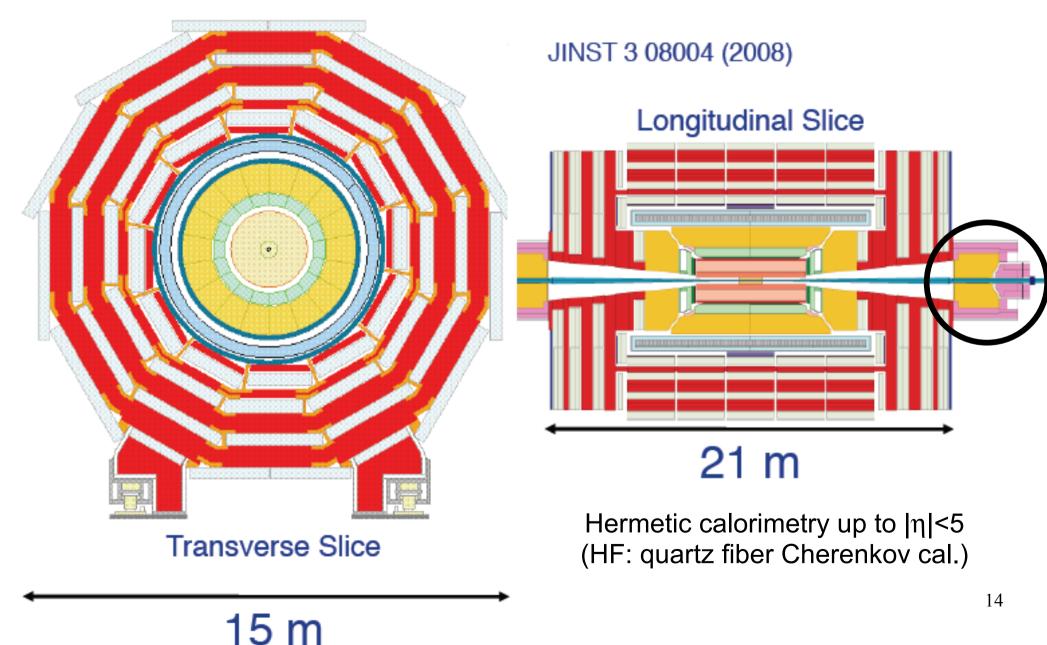
CMS detector: general concept



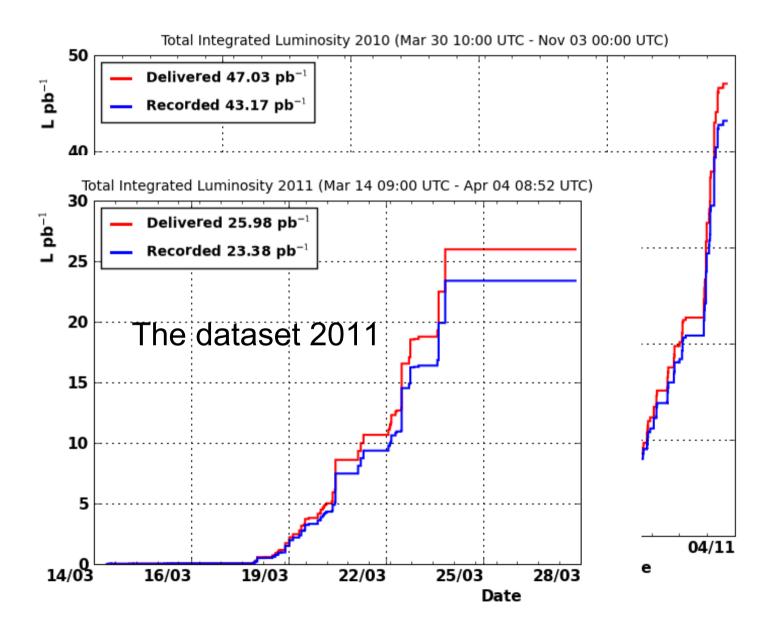
In→out: Si Pixels, Si Strips, EM calorimeter (PbWO), Hadron calorimeter (brass+scint.), Solenoid (3.8 T), Muon system (RPCs, drift tubes in barrel, CSCs in endcaps) 13

Neutrinos: no interaction \rightarrow momentum imbalance \rightarrow MET

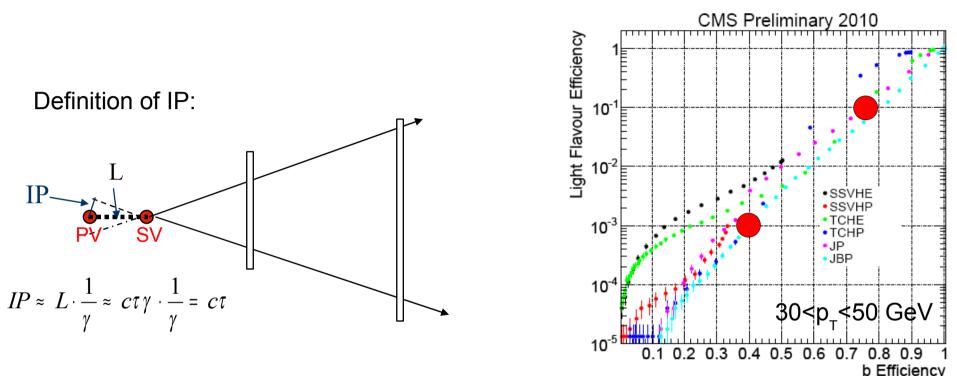
The "Compact" Muon Solenoid



The dataset 2010

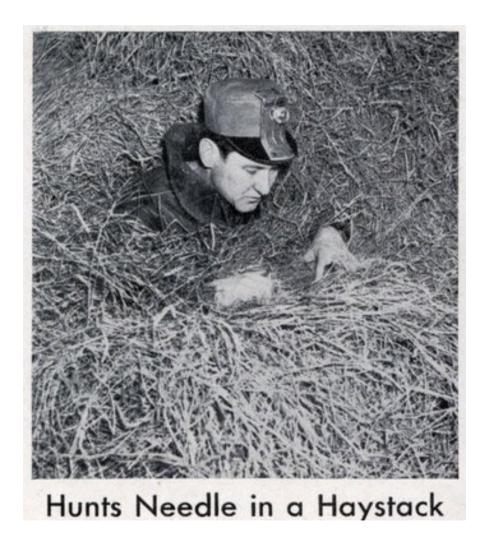


b tagging



- Based on Impact Parameter (IP)
 - "Track counting" (TC): require at least N tracks with IP/ σ_{IP} >cut
 - High Purity (TCHP): N=3, tight cut = 3.4 (~0.1% mistag prob.)
 - High Efficiency (TCHE): N=2, loose cut = 1.7 (~10% mistag prob.)

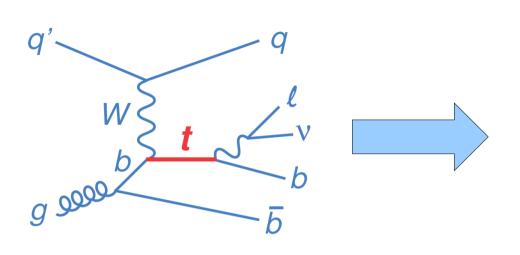
Part III: Single top search

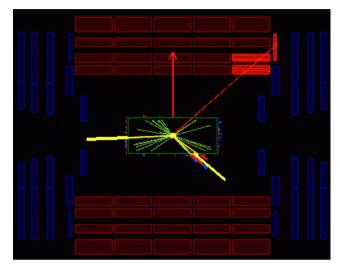


Two complementary methods

- Analysis #1 (2D):
 - 2D fit to angular properties of the signal
 - Main backgrounds have very similar shapes \rightarrow result is robust against background composition
 - Minimum model dependence
- Analysis #2 (BDT):
 - Multivariate analysis (boosted decision tree)
 - Exploits our prior assumptions about the signal
 - Maximum sensitivity
- We combine them at the end

Main signal features

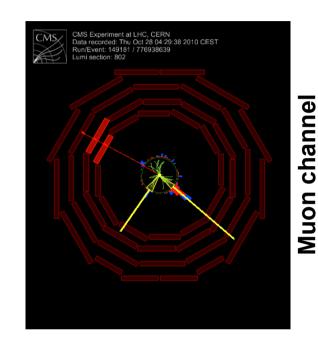


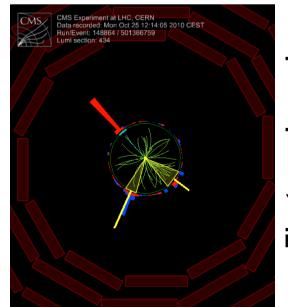


- Real W from t $(m_t > m_w)$
 - decaying 2/9 of the times into I(=e, μ)+ ν
 - Iv peak at the W mass (in the transverse plane: "Jacobian peak")
- Central b jet from t
 - Ivb peak at the top mass
- Light jet from recoil, rather forward
- Additional b jet has a very soft p_{τ} spectrum

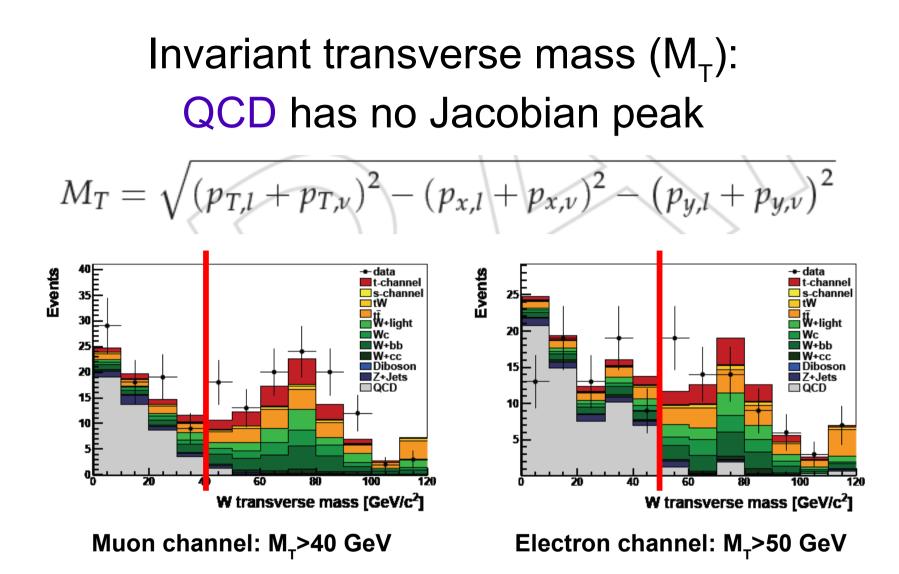
Event selection

- Trigger: single μ/e
- Single lepton (pt>20(μ)/30(e) GeV)
 - **Rel. isolation** (2D: < 0.05(μ)/0.1(e), BDT: <0.1)
 - Di-lepton veto
- Exactly 2 jets (pt>30 GeV, |η|<5)
- 1 b jet (tight tagging)
- Analysis-specific:
 - 2D: veto on 2nd b jet (loose tagging)
 - BDT: ∆φ(j1,j2)<3
- Last cut: M_T(W)>40(μ)/50(e) GeV



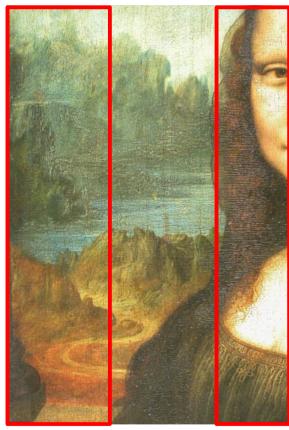


Electron channel



- Cut tuned on data, with blind procedure (15/pb and no b-tagging)
- This is our last cut: our bkg estimations from data are based on M_{τ}
- Main backgrounds after this cut: ttbar, W+light partons, Wc, Wbb
- QCD above the cut is small, but has huge error from MC

Background estimations



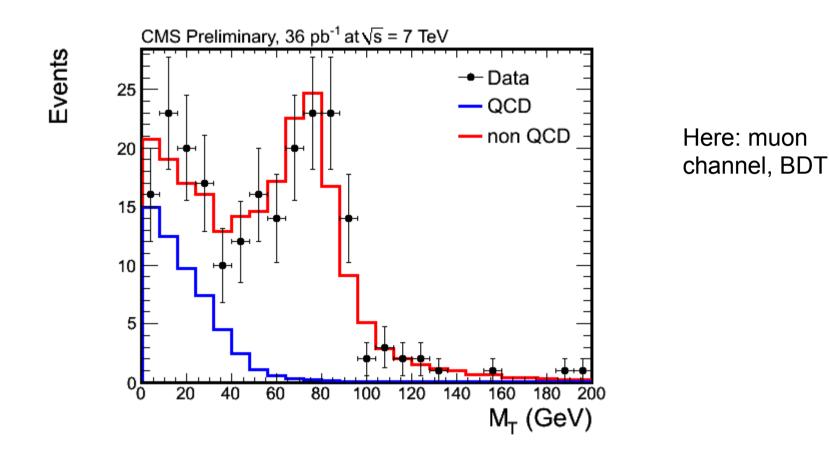
Q: How much water is behind Mona Lisa's head?

A: define a region with very little Mona Lisa, then extrapolate (with some reasonable assumption)

Control region

Analysis region

QCD estimation

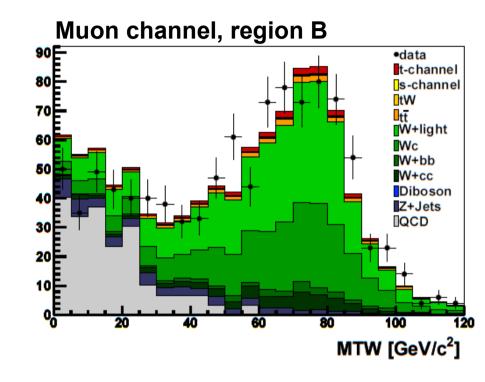


- Template fit, 2 components: QCD and non-QCD, both unconstrained
- "non-QCD" template from MC
- "QCD" template from an orthogonal sample with anti-isolation
 Uncertainties on the estimation: stat ⊕ fit stability ⊕ QCD shape
 ~50% in the muon channel, ~100% in the electron channel

W+light partons estimation

- Two control regions, both orthogonal to signal region
 - A: no tight b-tag
 - B (⊂ A): no tight b-tag, 1 loose
 b-tag and 1 anti-b-tag
- Fit with 3 components:
 - W+light partons (shape from MC)
 - QCD (unconstr., shape from anti-iso)
 - Others (fixed to expectations)

This is applied only in the 2D analysis; the BDT treats this rate as a nuisance parameter in the fit and marginalises it



Process	SF from region A	SF from region B
μ channel	1.02 ± 0.03	1.27 ± 0.09
e channel	0.97 ± 0.04	1.05 ± 0.11

We take the central values from B, $\pm 30\%$ (µ), $\pm 20\%$ (e) But the shapes of the 2D fit variables will be taken from A

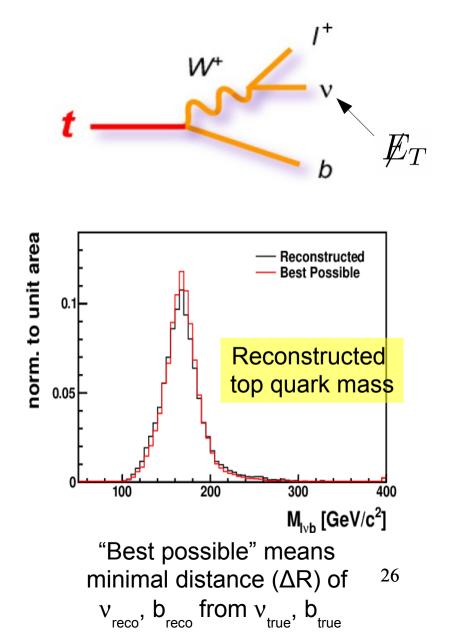
Predicted event yield

Process	2D, μ channel	2D, e channel	BDT, μ channel	BDT, e channel	
single top, t channel	17.6 ± 0.7 (†)	11.2 ± 0.4 (†)	$17.6 \pm 0.7 (\dagger)$	$10.7 \pm 0.5 (\dagger)$	
single top, s channel	0.9 ± 0.3	0.6 ± 0.2	1.4 ± 0.5	1.0 ± 0.3	
single top, tW	3.1 ± 0.9	2.4 ± 0.7	3.8 ± 1.1	< 0.1	Theory
WŴ	0.29 ± 0.09	0.23 ± 0.07	0.32 ± 0.10	0.23 ± 0.07	her
WZ	0.24 ± 0.07	0.17 ± 0.05	0.33 ± 0.10	1.5 ± 0.4	Ē
ZZ	0.018 ± 0.005	0.011 ± 0.003	0.020 ± 0.006	< 0.1	
W+ light partons	18.2 ± 5.5	11.6 ± 2.3	8.4 ± 4.2	7.0 ± 3.5	situ
Z + X	1.7 ± 0.5	1.6 ± 0.3	0.7 ± 0.2	0.05 ± 0.03	
QCD	0.6 ± 0.3	$2.6^{+3.4}_{-2.6}$	4.9 ± 2.5	5.3 ± 5.3	l L
$VQ\bar{Q}$	20.4 ± 10.2	14.1 ± 7.1	17.6 ± 8.8	11.7 ± 5.8	s ad
Wc	12.9 + 12.9 - 6.5 + 12.9	$9.4^{+9.4}_{-4.7}$	$9.2^{+9.2}_{-4.6}$	$5.9^{+5.9}_{-2.9}$	ysi
tī	20.3 ± 3.6	15.6 ± 2.8	34.9 ± 4.9	22.9 ± 3.2	Dedicated analysis
Total background	78.6 ± 15.2	58.4 ± 11.0	82.4 ± 13.1	55.9 ± 10.2	a De
Signal + background	96.2 ± 15.3	69.6 ± 11.0	100.0 ± 13.2	66.6 ± 10.2	
Data	112	72	139	82	

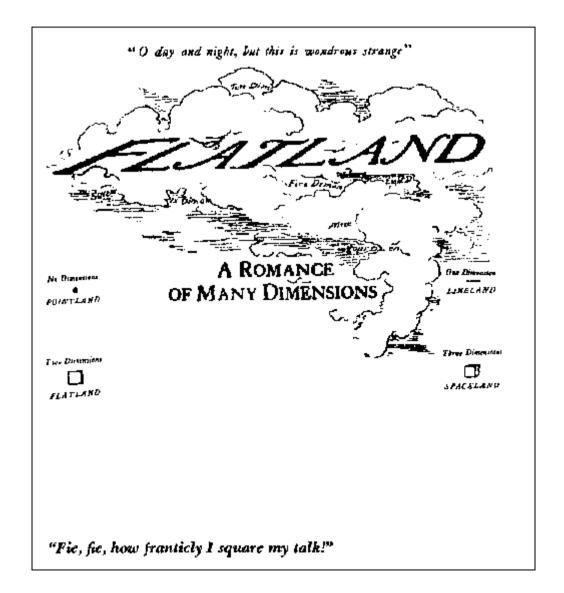
Although at this step we have a better S/B than CDF/D0, simple cut-and-count is clearly hopeless with this level of knowledge of the main backgrounds. But we can do better.

Top quark reconstruction

- W boson reconstruction:
 - W mass constraint
 - 2^{nd} order equation in $P_{z.v}$
 - Complex solutions (22% of sel.evts.)
 - Forcing $M_T = M_W \rightarrow Img(P_{z,v}) = 0$
 - Two real solutions (78% of sel.evts.)
 - Pick the one with smallest P_{z.v}
 - Correct in 60% of cases
- Pairing with a b:
 - Take the most b-tagged jet
 - Correct in 92.6% (2D) / 87.4% (BDT) of selected events

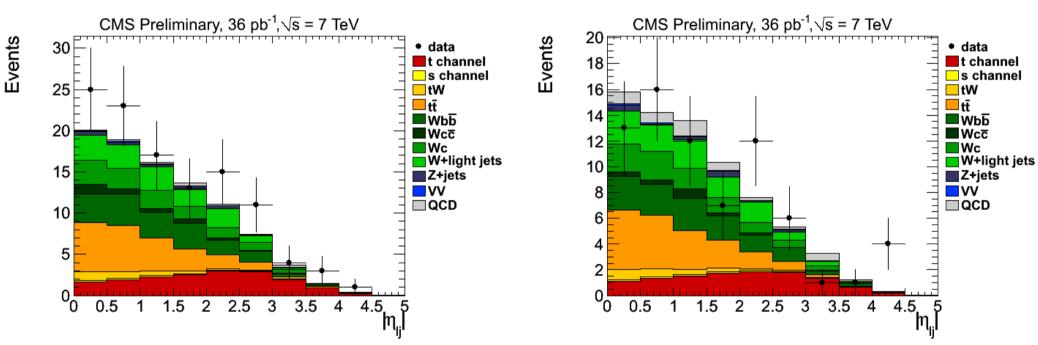


The 2D analysis



Pseudorapidity of the recoil quark



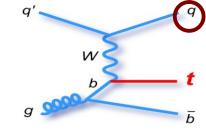


We take the jet failing the loose b tagging or outside tracker acceptance. Small shape difference across backgrounds, all have central distributions. \overline{b}

a

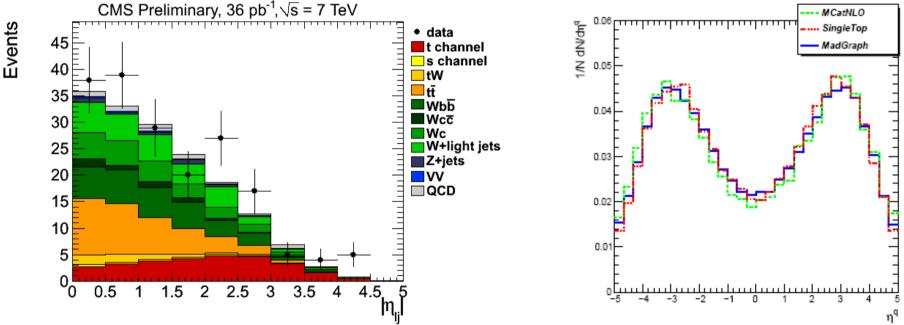
Electron channel

Pseudorapidity of the recoil quark



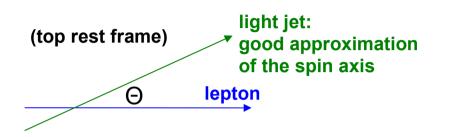
Muon+electron channels





Signal distribution is driven by pure kinematics (see VBF Higgs...) ISR/FSR tends to be close to the recoil quark in all signal models (different from ttbar: the colourless W* cuts the diagram in two isolated colour flows.)

Single top is polarized

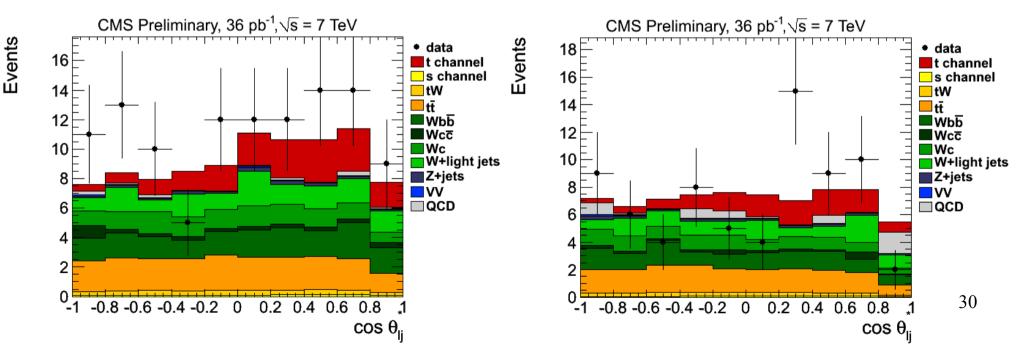


$$\frac{1}{\Gamma}\frac{d\Gamma}{d\cos\theta^*} = \frac{1}{2}(1+A\cos\theta^*)$$

A = 1 for charged leptons

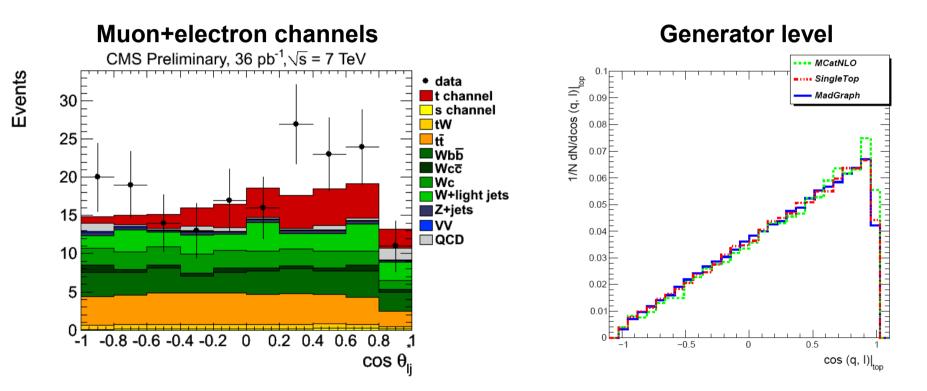
Muon channel

Electron channel



Backgrounds are all flattish (apart from the dip at ~1, due to selection) 30

Single top is polarized



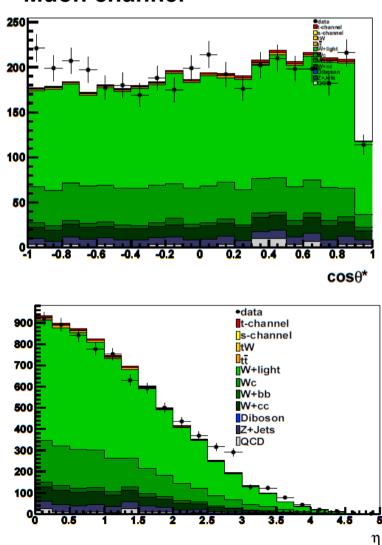
Signal distribution stems from a fundamental properties of single top (V-A); different generators give the same shapes (*), and even many non-SM scenarios behave as the SM (**)

(*) Single top group, AN2009/024: MadGraph vs SingleTop vs MC@NLO; now tested also POWHEG1 Motylinski, arXiv:0905.4754 [hep-ph], Phys.Rev.D80:074015,2009: radiation, scales, PDF, etc. (**) Batebi et al., arXiv:1102.2499 [hep-ph]: 2 Higgs doublets, top-assisted TC, non-commutative SM

W+light jets control region

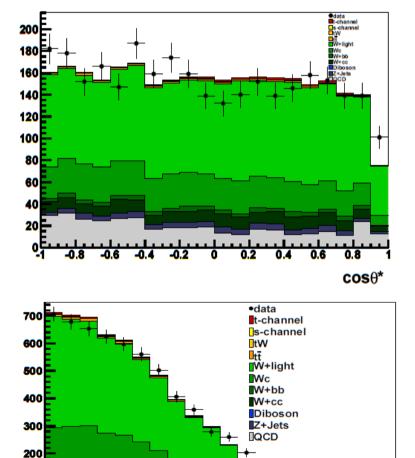
100

- 2-jet bin, both anti-b-tagged ("region A"); $M_T > 40$ (50) GeV
- top quark reconstruction: use most b-tagged jet



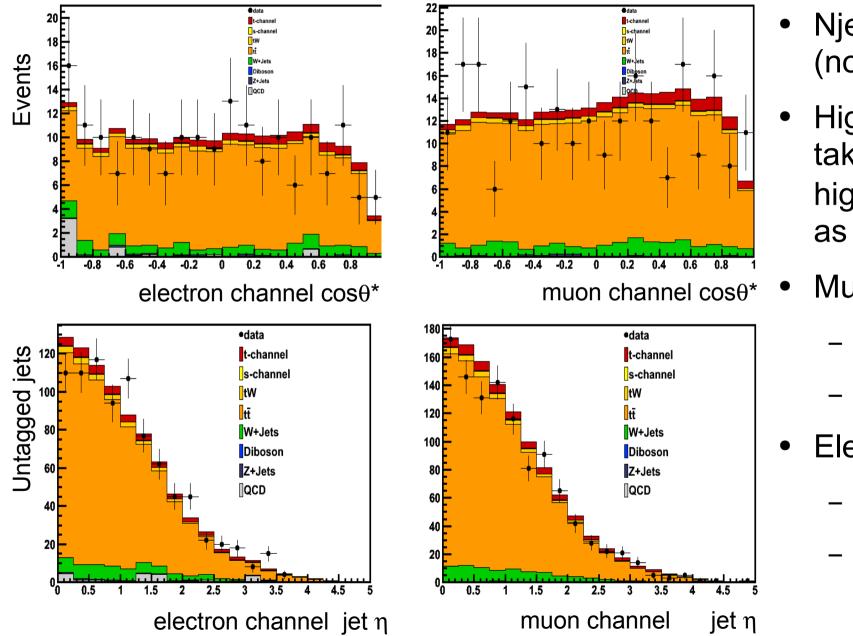
Muon channel

Electron channel



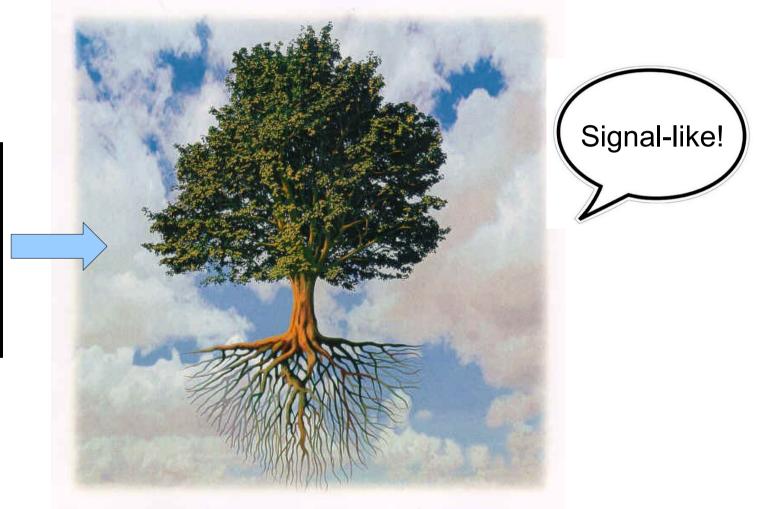
η

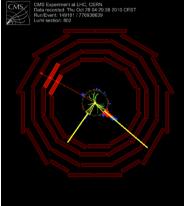
ttbar control region



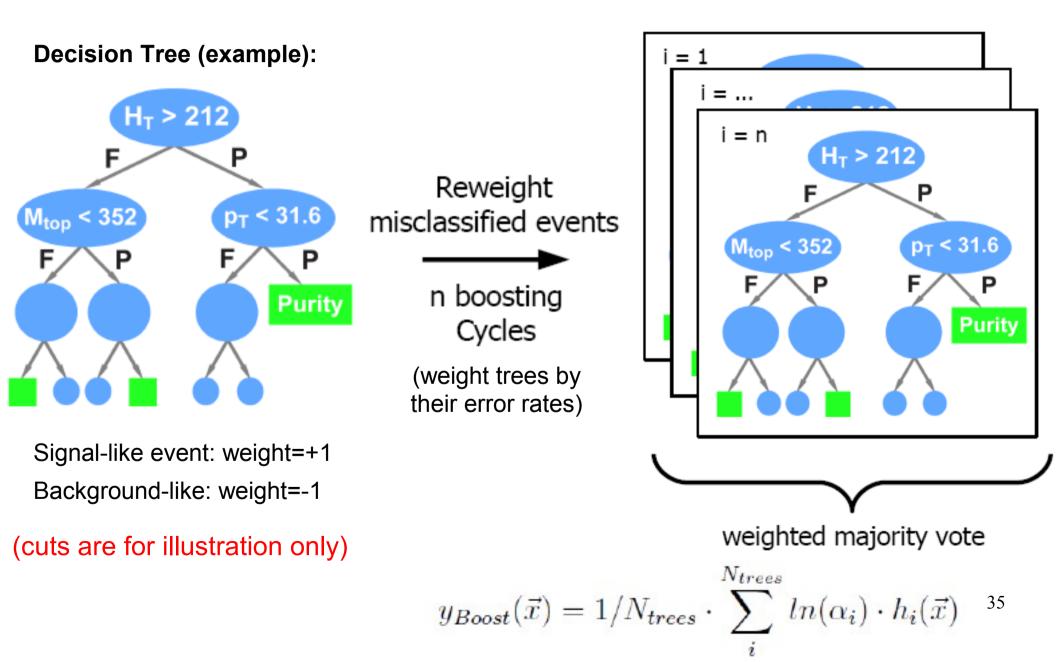
- Njets≥3, Nb≥1 (no veto)
- **Highest-TCHP** taken as "b", highest-|n| taken as "j"
- Muons:
 - Data 240
 - MC 259
- **Electrons:**
 - Data 185
 - MC 188

The Boosted Decision Tree analysis

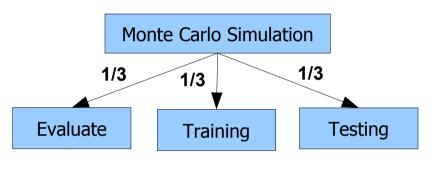


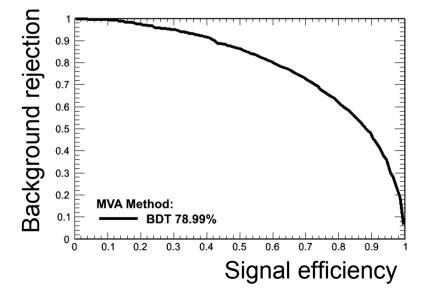


Boosted Decision Tree concept

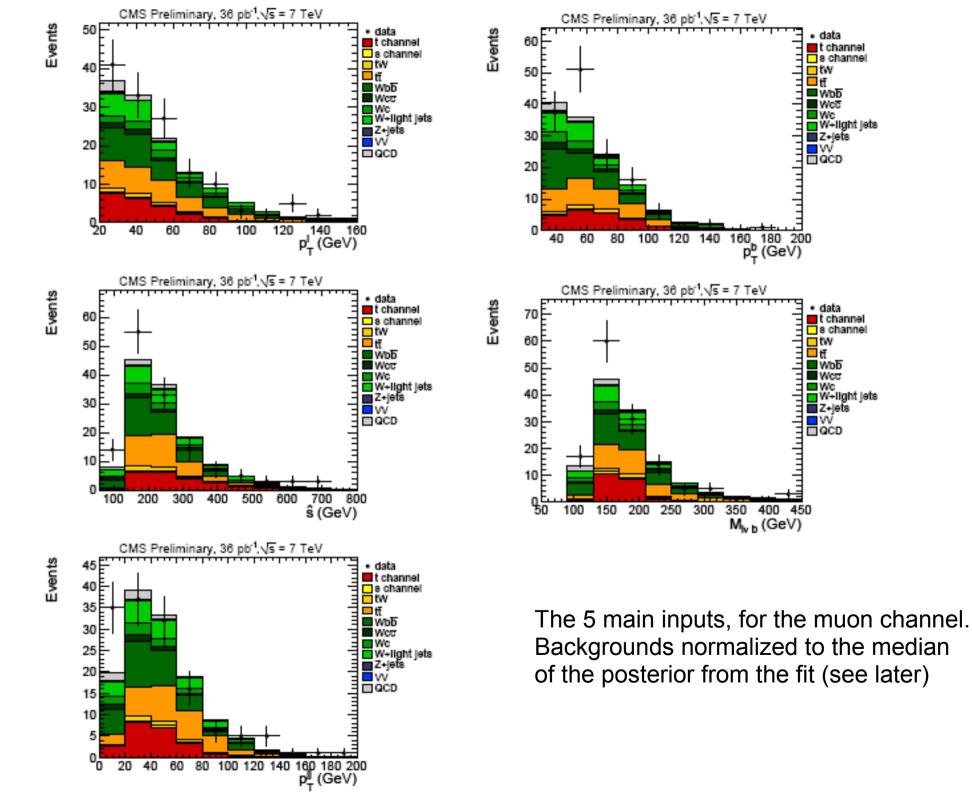


Inputs

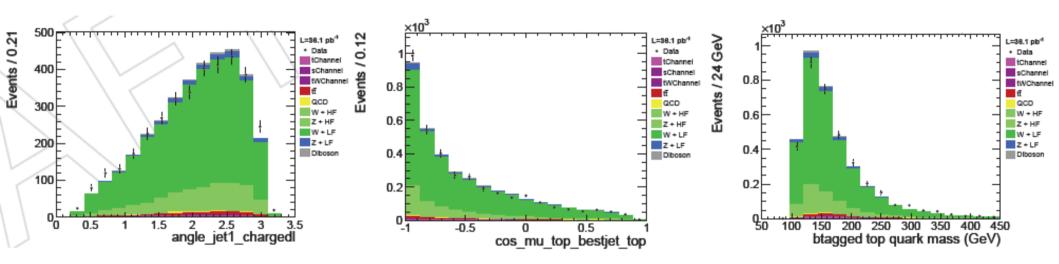




- 37 inputs in 5 categories:
 - Kinematics of final-state objects
 - Correlations of final-state objects
 - Properties of reconstructed W, t, t+q
 - Angular distributions of I,j wrt W,t,t+q
 - Global event properties



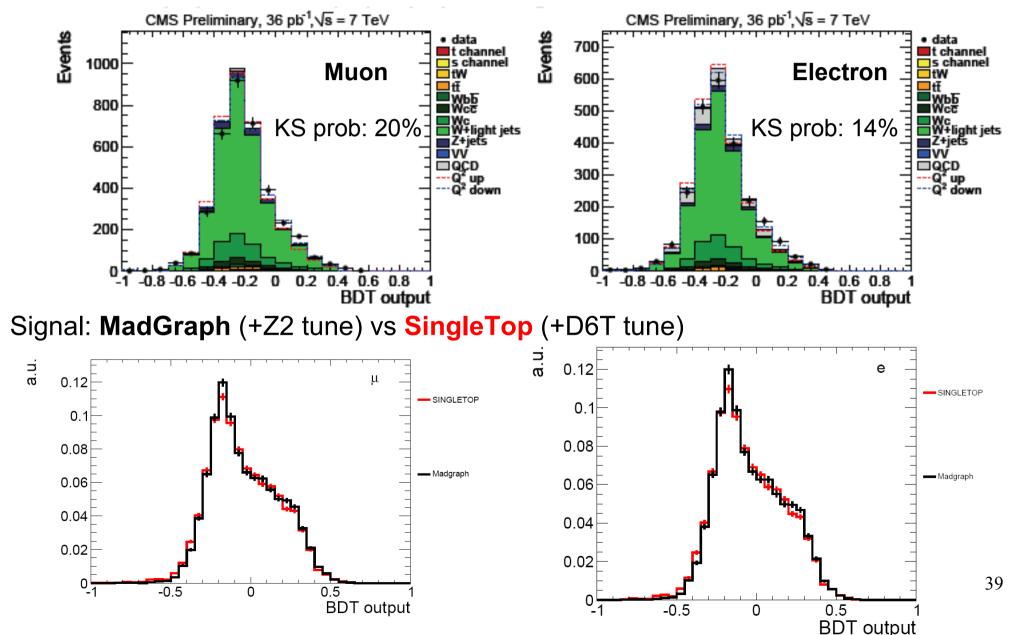
Validation of the inputs



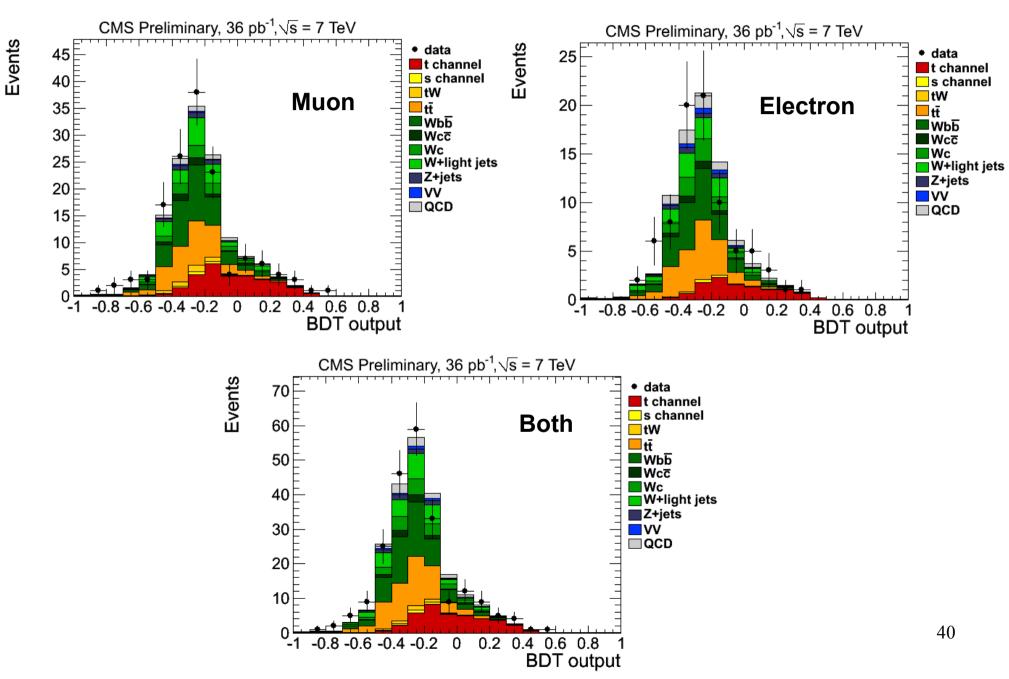
- We look at the orthogonal W-enriched control sample
 - Shape comparison (MC normalized to data)
- A Kolmogorov-Smirnov test is performed, with systematics
- Flattish distribution of probabilities across 37x2 comparisons → our W+light jets model is appropriate for describing data in this control sample at the current precision

Validation of the output

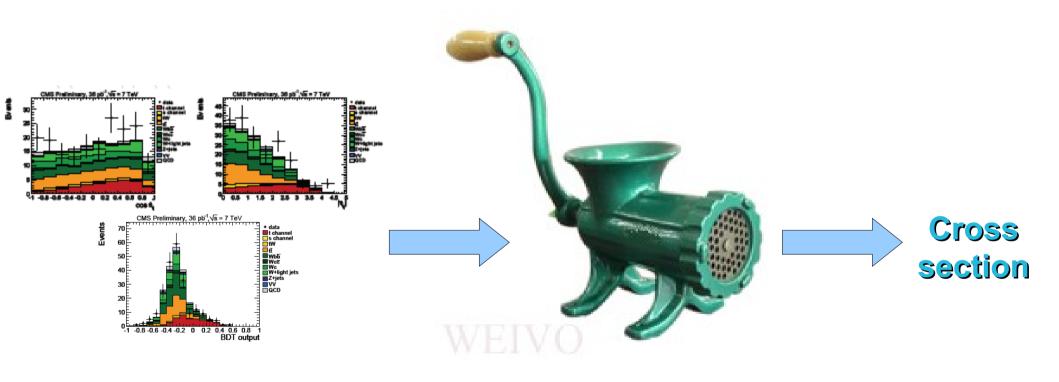
Data/MC in the W-enriched control sample ("region A"):



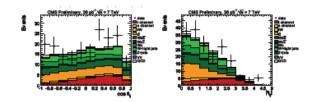
BDT output



Statistical inference



2D analysis



Maximum likelihood fit to the dataset using the function:

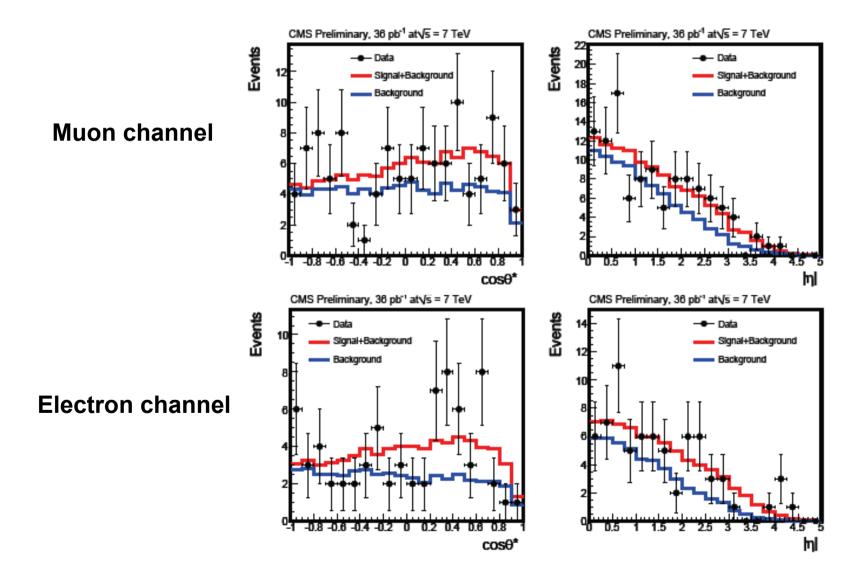
$$L(N_{s}, N_{b} | cos\theta_{1}^{*}, ..., cos\theta_{n}^{*}, \eta_{1}, ..., \eta_{n}) = e^{-(N_{s}+N_{b})} \cdot (N_{s}+N_{b})^{n} \prod_{k=1}^{n} \frac{1}{N_{s}+N_{b}} \left(N_{s} \cdot P_{s}(cos\theta_{k}^{*}, \eta_{k}) + N_{b} \cdot P_{b}(cos\theta_{k}^{*}, \eta_{k}) \right)$$

- Two free parameters: N_s and N_b
- N_s and N_b are the number of events for signal (S) and overall background (B)
- P_s and P_b are the signal and background distribution functions.

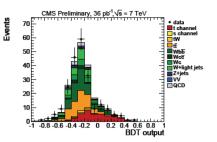
Correlation between $\cos\theta^*$ and η is ~6% for the signal and O(%) for all backgrounds, accounted for as systematics. Background templates are parametrized as products of 1D templates.

W+light jets and QCD templates from orthogonal data: "region A" and anti-iso

2D fit results



BDT fit



- Core method: Bayesian
 - Significance estim.: CLs, median of the signal strength posterior as test stat.
- Differences with the 2D fit:
 - Systematics as nuisance parameters with Gaussian or Lognormal prior
 - Bayesian approach to determine the posterior probabilities of signal and nuisance parameters (marginalisation w/ Markov Chain MC)

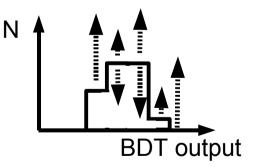
Shape-changing:

Sample i

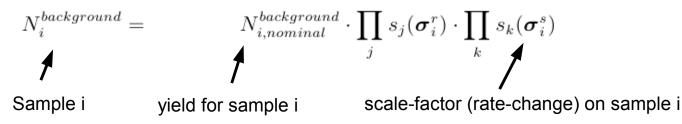
$$Pdf_{i}(x, \boldsymbol{\sigma}^{s}) = Pdf_{i}^{nominal}(x) + \sum_{j} \left(\Delta Pdf_{i}(x, \boldsymbol{\sigma}^{s}_{i,j}) \right)$$

Bin x Vector of shape changing Difference in shape between

nuisance parameters nominal Pdf and sys. unc. for bin x



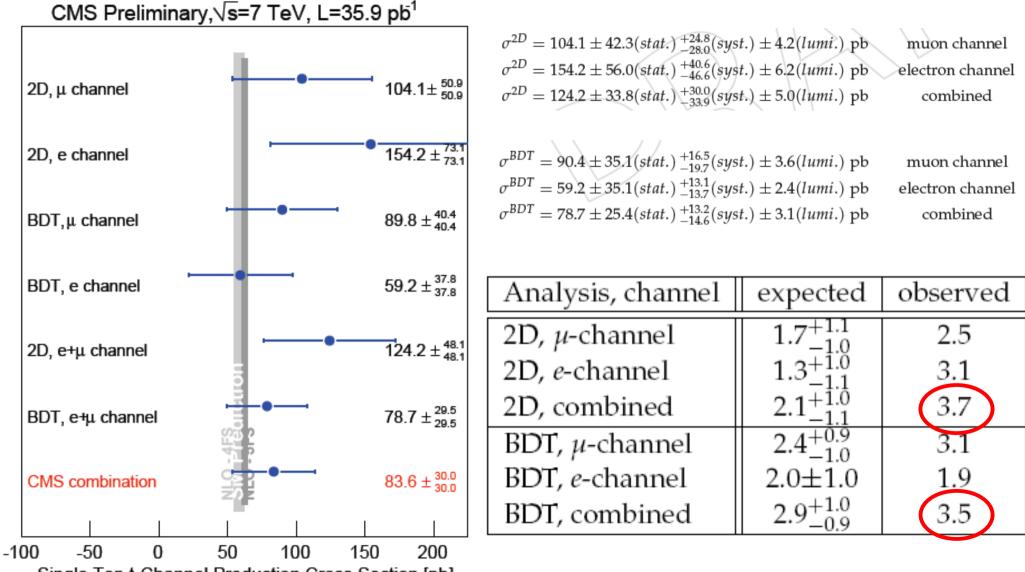
Flat or rate-changing:



(% of the SM cross section)

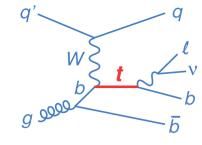
		impact on			
uncertainty	correlation	2D		BDT	
		[_	+	_	+
statistical only	60	52		39	
shared shape/rate uncertainties:					
ISR/FSR for t t	100	-1.0	+1.5	< 0.2	< 0.2
Q^2 for $t\bar{t}$	100	+3.5	-3.5	+0.3	-0.4
Q^2 for V+jets	100	+5.7	-12.0	+2.6	-4.5
Jet energy scale	100	-8.8	+3.6	-5.1	+1.2
b tagging efficiency	100	-19.6	+19.8	-15.2	+14.6
MET (uncl. energy)	100	-5.7	+3.7	-3.9	-0.5
shared rate-only uncertainties:					
$t\overline{t}$ (±14%)	100	+2.0	-1.9	+0.5	-0.6
single top s (±30%)	100	-0.4	+0.5	-0.4	+0.4
single top tW (±30%)	100	+1.1	-1.0	< 0.2	< 0.2
Wbb, Wcc (±50%)	100	-3.0	+2.9	+1.7	-1.9
$Wc \left({+100\%} {-50\%} \right)$	100	-3.0	+6.1	-2.4	+4.4
Z+jets (±30%)	100	-0.6	+0.7	+0.4	-0.2
electron QCD (BDT: $\pm 100\%$, 2D: $^{+130\%}_{-100\%}$)	50	+2.9	-3.7	-1.7	+1.7
muon QCD (BDT: ±50%, 2D: ±50%)	50	< 0.2	< 0.2	-2,1	+2.1
signal model	100	-5.0	+5.0	-4.0	+4.0
BDT-only uncertainties:					
electron efficiency (±5%)	0	-	7	-1.4	∖+1.4
muon efficiency $(\pm 5\%)$	0	-	<u> </u>	-3.6	+3.5
V+jets (±50%)	0		\rightarrow	-1.5	< 0.2
2D-only uncertainties:					
muon W+light (±30%)	0	-1.4	+1.4	_	_
electron W+light (±20%)	0	-0.6	+0.7	—	—
W+light model uncertainties	Q	-5.4	+5.4	—	—

Results



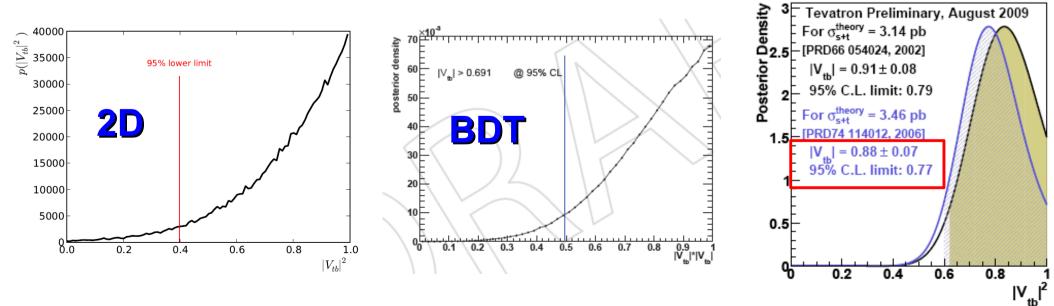
Single Top t-Channel Production Cross Section [pb]

Limits on $|V_{tb}|$, with some assumptions



- Unconstrained measurement à la Tevatron:
 - Assumption: $|V_{td}|, |V_{ts}| \ll |V_{tb}| \Rightarrow BR(t \rightarrow b) \sim 1 \Rightarrow |V_{tb}|^2 = \sigma(exp)/\sigma(SM)$
 - 2D: $|V_{tb}|=1.41\pm0.27(exp)\pm0.03(th.), BDT: |V_{tb}|=1.12\pm0.21(exp)\pm0.02(th.)$
- Constrained limit à la Tevatron (i.e., flat prior $0 < |V_{tb}|^2 < 1$)

- 2D: $|V_{tb}| > 0.63$ @ 95%CL, BDT: $|V_{tb}| > 0.69$ @ 95%CL



Combination

- We use the Best Linear Unbiased Estimator
 - Assumptions of Gaussianity and linearity are approximately fulfilled (main uncertainties do)
 - Statistical correlation (60%) estimated with toy exps
 - 100% correlation for all common systematics, apart from QCD yield ~50%; varied within 0% and 100%, no impact
 - Weights found by minimizing the total error

 $\sigma^{2D} = 124.2 \pm 33.8(stat.) + 30.0_{-33.9}(syst.) \pm 5.0(lumi.) \text{ pb} \qquad \sigma^{BDT} = 78.7 \pm 25.4(stat.) + 13.2_{-14.6}(syst.) \pm 3.1(lumi.) \text{ pb}$ $\sigma = 83.6 \pm 29.8(stat. + syst.) \pm 3.3(lumi.) \text{ pb} \quad \text{combined}$ $|V_{tb}| = \sqrt{\frac{\sigma^{exp}}{\sigma^{th}}} = 1.16 \pm 0.22(exp) \pm 0.02(th)$ 48

http://www.symmetrymagazine.org/breaking/2011/03/15/speedy-single-top-sighting-at-the-lhc/



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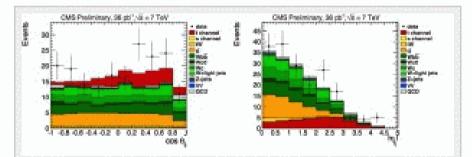
Speedy single top sighting at the LHC

March 15, 2011 | 4:34 am

For the first time, scientists at the Large Hadron Collider have spotted single top quark production.

It took Fermilab scientists at the Tevatron more than 13 years to observe the particle in its solo state after they discovered it paired with its antiparticle in 1995. The CMS experiment teased signs of the single top out of 36 inverse picobarns of collision data, roughly what Tevatron experiments collect over three days.

"We were surprised we saw it so soon," said CMS physicist Philip Coleman Harris, who announced the accomplishment on Monday at the Rencontres de Moriond conference. The ATLAS experiment also made public impressive limits on the single top quark.



CMS scientists observed an excess in their data consistent with the production of single top quarks after combining just a couple of variables. Image courtesy of the CMS Collaboration.

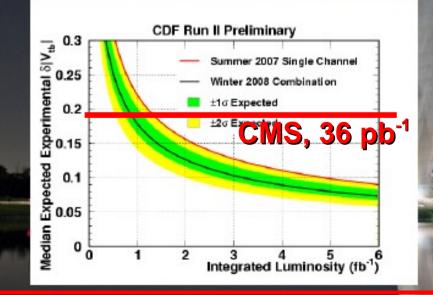
Scientists took the news as one of many signs that experiments at the LHC are prepared to make great strides in the coming years.

Last Summer, at TOP2010...

Summary

Past: CDF developed advanced Methods to establish a small Signal against a highly uncertain Background

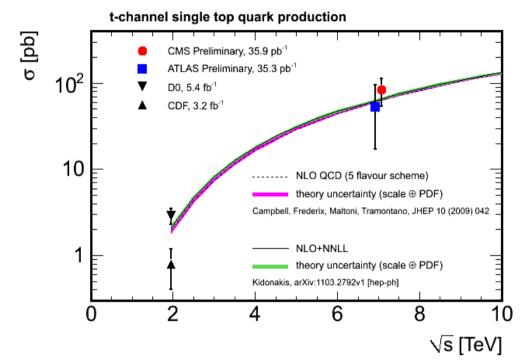
Present:CDF already has a Single-Top Polarization Analysis CDF will look at a doubled Data Set in 1D and 2D



By end of 2011: two orders of magnitude in statistics, at least a factor of 2 in b-tagging uncertainty, less shyness (e.g., we could have used a more aggressive b-tagging)

Future: Given the actual LHC Status, our Results will last some more vears...

Conclusions



- It took 13 years to Tevatron to go from top quark observation to single top, a few months to LHC
 - But not just "brute force": making single top an analysis "for early data" required a long preparation and some original ideas
 - Historical recollection: in our kick-off meeting in Apr.2008, we 51 set a goal of a ~30% precision with ~1000/pb @ 14 TeV!

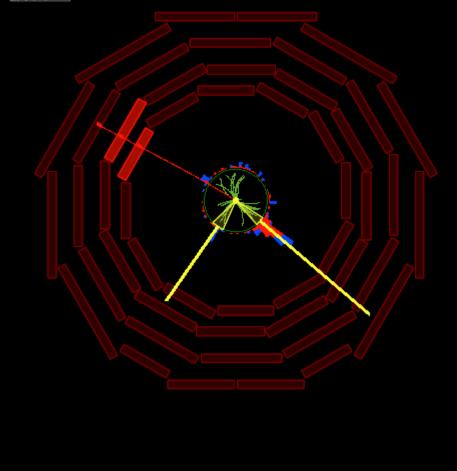
Thanks for you attention!

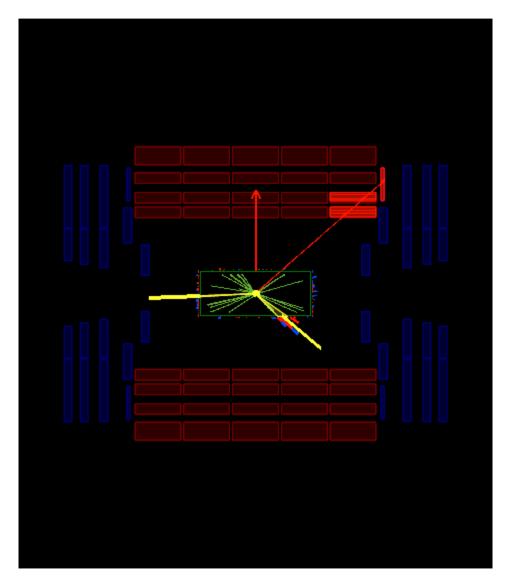
Backup: special events

Golden muon candidate



CMS Experiment at LHC, CERN Data recorded: Thu Oct 28 04:29:38 2010 CEST Run/Event: 149181 / 776938639 Lumi section: 802



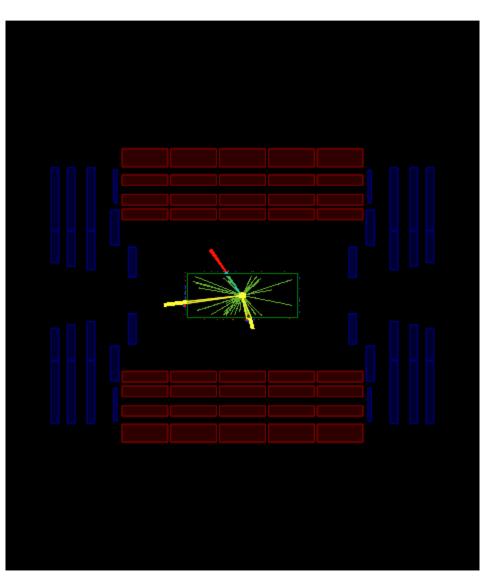


Most signal-like according to the BDT; it also passes the 2D selection $\cos\theta_{\mu}^{*}=0.24, \eta_{\mu}=-3.76$

Golden electron candidate



CMS Experiment at LHC, CERN Data recorded: Mon Oct 25 12:14:05 2010 CEST Run/Event: 148864 / 501366759 Lumi section: 434



Most signal-like according to the BDT; it also passes the 2D selection $\cos\theta_{\mu}^{*}=0.23, \eta_{\mu}=-2.84$

Charge asymmetry

